



HA. Sector #3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: Kazuhiro HATTORI

Serial No.: 09/816,784

Confirmation No. 5542

Filed: March 26, 2001

For: DRY ETCHING METHOD, MICROFABRICATION PROCESS  
AND DRY ETCHING MASK

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**Commissioner for Patents  
Washington, D.C. 20231**

July 25, 2001

Dear Sir:

In response to the Notice to File Missing Parts of Application dated May 30, 2001, enclosed for filing is the verified English translation of the application along with drawings and Declaration for the above-identified patent application.

Also enclosed is our check in the amount of **\$1,050.00** for payment of \$790.00 filing fees, \$130.00 surcharge fee for late filing of the verified English translation of application, and \$130.00 surcharge fee for late filing of the Declaration. In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340.

In the event that this response is not timely filed, applicants hereby petition for an appropriate extension of time. The fees for any such extension may be charged to our Deposit Account No. 01-2340. This paper is filed in triplicate.

Respectfully submitted,

**ARMSTRONG, WESTERMAN, HATTORI  
McLELAND & NAUGHTON, LLP**

Donald W. Hanson  
Attorney for Applicants  
Reg. No. 27,133

Atty. Docket No. 010328  
1725 K Street, N.W.; Suite 1000  
Washington, D.C. 20006  
(202) 659-2930

DWH:lrj

Attachments: Verified English Translation of application, Declaration ,  
Claim for Priority under 35 USC §119  
and Information Disclosure Statement

09816784-010328



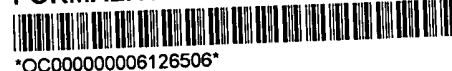
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/816,784	03/26/2001	Kazuhiro Hattori	010328

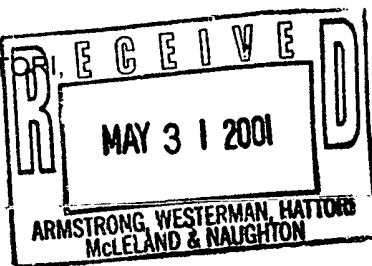
CONFIRMATION NO. 5542

## FORMALITIES LETTER



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23850  
ARMSTRONG, WESTERMAN, HATTORI,  
MCLELAND & NAUGHTON, LLP  
1725 K STREET, NW, SUITE 1000  
WASHINGTON, DC 20006



Date Mailed: 05/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

*A copy of this notice **MUST** be returned with the reply.*

07/26/2001 JBALINAN 00000089 09816784

01 FC:101  
02 FC:105  
03 FC:139  
04 FC:102

710.00 OP  
130.00 OP  
130.00 OP  
80.00 OP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09816784-072501